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The Universal Minimal Flow

On the universal minimal flow of the homeomorphism group of a Knaster continuum

Lauren Wickman

University of Florida

Caltech Logic Seminar December 1, 2021





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Smale & The Horseshoe Map

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The Universal Minimal Flow • Stephen Smale, 1960 in Rio: So maybe chaos DOES exist

- Mary Cartwright & L. J. Littlewood worked on analyzing equations that arose in the study of radio waves in World War II
- Norman Levinson (MIT) found in their work an example of a chaotic system
- Smale then worked to represent this example in as simple a manner as possible, and came up with the Horseshoe map (Won Fields Medal 1966)
- The attractor of the Smale horseshoe map is an indecomposable continuum.

$$K_2 = \bigcap_{n=0}^{\infty} f^n(D^2)$$

"A system is chaotic if the future is determined by the present, but the approximate present does not approximate the future."



The Horseshoe Map

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Indecomposable Continuua

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Indecomposable Continuum

Say a continuum is decomposable if it can be written as a union of two proper subcontinua. Otherwise, say the continuum is indecomposable.

- In 1910, L. E. J. Brouwer was the first to describe an indecomposable continuum.
- The Buckethandle example first appeared in Kuratowski's 1922 continuum theory paper.
- In the paper he attributed the construction to Knaster, who in turn obtained his definition by simplifying Janiszewski's definition from his thesis



The Geometric Description

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The Universal Minimal Flow This description comes from Kuratowski's 1968 textbook *Topology II*:

- Form a set C₀ which is defined to be the set of all semi-circles in ² that lie above the x-axis, whose endpoints are elements of the Cantor (middle-third) set, and whose center is (¹/₂, 0)
- 2 Next, form the set C_1 which is defined to be the set of all semi-circles in ² that lie **below** the x-axis, whose endpoints are elements of the Cantor set, and whose center is the midpoint of the interval $[\frac{2}{3}, \frac{3}{3}]$.
- 3 Continue so that each C_n is the set of semi-circles lying **below** the x-axis, whose endpoints are Cantor points, and whose center is the midpoint of the interval [²/_{3ⁿ}, ³/_{3ⁿ}]. Finally, form the Knaster continuum by taking the union of all of these sets, K = ⋃_{n=0}[∞] C_n.





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The Brouwer-Janiszewski-Knaster continuum

The Knaster Continuum

The Knaster Continuum first presented in 1922 (which we will denote K_2 from now on) is homeomorphic to the inverse limit space of a certain tent map on the unit interval. We will call this particular tent map $f_2 : I \rightarrow I$, and it is defined as follows (See example 22, pg 15 of Ingram and Mahavier's *Inverse Limits*):

$$f_2(x) = egin{cases} 2x ext{ if } x \in [0, rac{1}{2}] \ 2(1-x) ext{ if } x \in [rac{1}{2}, 1] \end{cases}$$





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The Knaster Continuum

There exists a whole class of mutually non-homeomorphic Knaster continua. Each are homeomorphic to an inverse limit space of a sequence of tent maps on the unit interval.

$$K_2 = \varprojlim(I, f_2) = \{(..., x_2, x_1, x_0) \in I^{:} x_i = f_2(x_{i-1})\}$$





K_2 and K_3

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Image from K. Kuratowski's 1968 Textbook *Topology II*, page 205



 $\downarrow_{2,2,5} \longrightarrow \not{\downarrow}_{2,3}$

Debski's Classification, 1985

Two Knaster Continua K_{π} and K_{ρ} are homeomorphic if and only if for all but finitely many primes p, p occurs in the sequences π and ρ the same number of times. In the exceptional cases, the number of occurences of p in each sequence is finite. $K_{\pi} \subset K_{\pi} \subset \mathcal{F}_{\pi} \subset \mathcal{F}_{\pi} \subset \mathcal{F}_{\pi}$

Theorem, Eberhart, Fugate, & Schumann, 2002

There exists a lattice ordering on the equivalence class of Knaster continua with K_{τ} at the top (where τ is the sequence with infinitely many occurrences of every prime), K_1 at the bottom, where (1) is any sequence of primes with all but finitely many 1's.

Let $\rho = (2, 3, 2, 3, 5, 2, 3, 5, 7, ...)$ be the sequence of primes where every prime occurs infinitely many times. Then K_{ρ} is universal in the class of Knaster continua, in that it maps openly onto every other Knaster continuum.

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Theorem, Krupski 1984

The class of all Knaster continua is equal to the class of all arc-like continua with one or two endpoints having the **Property of Kelley** and arcs as non-degenerate subcontinua and which themselves are not arcs. In particular, all of them are indecomposable.

Property of Kelley

A continuum X has the Property of Kelley if for all $x \in X$ and for each subcontinuum K of X containing x and for each sequence of points (x_n) with $x_n \to x$, there exists a sequence of subcontinua K_n of X containing x_n and converging to K.





Homeo(K)

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The Universal Minimal Flow There is much known about the homeomorphism group of the Knaster continuum (K_2) , in particular, the types of homeomorphisms that exist, and the number of fixed points they must have, and the fact that the homeomorphism group is indeed a topological group (Ssembatya, 2001 under Keesling). However, several questions remained, many of which can be answered using projective Fraïssé theory:

- What kind of subgroups does the homeomorphism group have?
- Is the group locally compact? $\sqrt{0}$
- Can the homeomorphism group be generated by neighborhoods of the identity?
- What is the universal minimal flow?



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Epimorphisms Instead of Embeddings

Irwin & Solecki, 2006

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Let \mathcal{L} be a language consisting of relation symbols R_i , $i \in I$, with arity $m_i \in I$, and function symbols $f_i, j \in J$ with arity $n_i \in A$ topological \mathcal{L} -structure is a zero-dimensional, compact, second countable space A together with closed sets $R_i^A \subseteq A^{m_i}$ and continuous functions $f_i^A : A^{n_j} \to A$ for all $i \in I$ and $j \in J$.

Irwin & Solecki, 2006

An epimorphism from A to B is a surjective, continuous function $\varphi : A \rightarrow B$ such that for any $j \in J$ and $x_1, ..., x_{n_i} \in A$ we have:

$$f_j^B(\varphi(x_1),...,\varphi(x_{n_j})) = \varphi(f_j^A(x_1,...,x_{n_j}))$$

and for any $i \in I$ and any $y_1, ..., y_{m_i} \in B$ we have:

 $(y_1,...,y_{m_i}) \in R_i^B \Leftrightarrow \exists x_1,...,x_{m_i} \in A \ (\varphi(x_p) = y_p \text{ for all } p \leq m_i \text{ and } (x_1,...,x_{m_i}) \in R_i^A$



Projective Fraïssé Classes

Projective Fraïssé Classes

Projective Fraïssé Class, Irwin & Solecki

Let \mathcal{D} be a family of topological \mathcal{L} -structures. We say that \mathcal{D} is a projective Fraïssé class if the following two conditions hold:

(F1) For any $D, E \in \mathcal{D}$, there is an $F \in \mathcal{D}$ and epimorphisms from F onto D and onto E.

1000

(F2) For any $C, D, E \in \mathcal{D}$ and any epimorphisms $\varphi_1 : D \to C, \varphi : E \to C$, there exists an $F \in \mathcal{D}$ with epimorphisms $\psi_1 : F \to D$ and $\psi_2 : F \to E$ such that $\varphi_1 \circ \psi_1 = \varphi_2 \circ \psi_2$

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Projective Fraïssé Class

Projective Fraïssé Class, Charatonik & Roe, 2020

Let \mathcal{F} be a class of finite graphs with a fixed family of morphisms among the structures in \mathcal{F} . We assume that each morphism is an epimorphism with respect to \mathcal{F} . We say that \mathcal{F} is a projective Fraïssé class if:

- 1 \mathcal{F} is countable up to isomoprhism, that is, any sub-collection of pairwise non-isomoprhic structures of \mathcal{F} is countable;
- 2 morphisms are closed under composition and each identity map is a morphism;
- **3** for $B, C \in \mathcal{F}$ there exists $D \in \mathcal{F}$ and morphisms $f : D \rightarrow B$ and $g : D \rightarrow C$; and
- 4 for every two morphisms $f : B \to A$ and $g : C \to A$, there exist morphisms $f_0 : D \to B$ and $g_0 : D \to C$ such that $f \circ f_0 = g \circ g_0$.

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Projective Fraïssé Limit, Irwin & Solecki

Let \mathcal{D} be a family of topological \mathcal{L} -structures. We say that a topological \mathcal{L} -structure \mathbb{D} is a projective Fraïssé limit of D if the following three conditions hold:

(L1) (projective universality) for any $D \in \mathcal{D}$, there is an epimorphism from \mathbb{D} to D.

Vear

(L2) for any finite discrete topological space A and any continuous function $f : \mathbb{D} \to A$, there is a $D \in \mathcal{D}$ an epimorphism $\varphi : \mathbb{D} \to D$, and a function $\overline{f} : D \to A$ such that $f = \overline{f} \circ \varphi$.

(L3) projective ultrahomogeneity for any $D \in \mathcal{D}$ and any epimorphisms $\varphi_1 : \mathbb{D} \to D$ and $\varphi_2 : \mathbb{D} \to D$, there exists an isomorphism $\varphi : \mathbb{D} \to \mathbb{D}$ such that $\varphi_2 = \varphi_1 \circ \psi$.

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DED e charatonik Éleve 2020 $\mathcal{F}: \mathcal{A} \rightarrow \mathcal{A}^{\circ}$



The Family of Finite Linear Graphs

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Let $\mathcal{L} = R$, the language consisting of one binary relation R. Let Δ be the class of all finite (reflextive) linear graphs, i.e. the class of all finite sets A with at least two elements so that R^A has the following properties:

- *R^A* is reflexive;
- *R^A* is symmetric;
- Every element of A has at most three (including itself) R^A -neighbors;
- There are exactly two elements of A with less than three R^A neighbors;
- R^A is connected, i.e. for every $a, b \in A$ there exists $a_0, ..., a_n \in A$ such that $a = a_0, b = a_n$ and $(a_i, a_{i+1}) \in R^A$ for $0 \le i < n$.

Theorem (Irwin & Solecki, 2006)

The Family of Finite Linear Graphs forms a Projective Fraïssé Class.

$$\Delta / \sim - ($$



Compact Spaces & Quotients of PF Limits

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The Universal Minimal Flow Every compact space is homeomorphic to a quotient of a projective Fraïssé limit $\sqrt{\frac{1}{2}} = \sqrt{\frac{1}{2}} \sqrt{\frac{1}{2}}$

Theorem (Panagiotopoulos, 2017)

Let G be a closed subgroup of Homeo(Y) for some compact, metrizable space Y. Then there is a projective Fraïssé pre-space \mathbb{K} such that $\mathbb{K}/R^{\mathbb{K}}$ is homeomorphic to Y, and the quotient projection

 $\mathbb{K}\mapsto Y$

induces a continuous group embedding $Aut(\mathbb{K}) \hookrightarrow G$ with dense image in G.

Homes $(x)^2 [n]^k$ $\int \mathcal{O}_i^n : i \in \mathbb{I}^n$



Easier Any compact space
$$K = G/F$$
 this is a graph.
F: $\{0,1\}^N \rightarrow K$
 $f : \{0,1\}^N \rightarrow K$
 $(a,b) \in K$
 $(b,b) \in K$
 $(b,b$





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All Possible Quotients

Below is a characterization of all possible quotients from a language with one binary relation: Finisely representable [smp as space

Theorem (Camerlo, 2010)

Given a language $\mathcal{L} = R$ which consists of a single binary relation. The characterization of all spaces that can be obtained, up to homeomorphism, as quotients $\mathbb{P}/R^{\mathbb{P}}$, where $(\mathbb{P}, R^{\mathbb{P}})$ is the projective Fraïssé limit of a projective Fraïssé family of finite topological \mathcal{L} -structures, for \mathcal{L} as above and $R^{\mathbb{P}}$ an equivalence relation consists of the following list:

• Cantor space;

- Disjoint unions of *m* singletons and *n* pseudo-arcs, with m + n > 0
- Disjoint sums of *n* spaces each of the form $X = P \cup \bigcup Q_j$ where *P* is a

rightarrow pseudo-arc, and Q_j is a Cantor space which is clopen in X and $\bigcup_{j \in Q_j} Q_j$ is dense in X.

"Topological realization"

	Attribution	Quotient of Limit	Epimorphisms	Class	
	Irwin & Solecki (2006)	Pseudo-arc	All	Linear Graphs	
	Basso & Camerlo (2016)	Arc	Order-Preserving	Linear (Singly Directed) Graphs	έζ
))	Pangiatopoulos & Solecki (2020)	Menger Curve	Monotone	Finite Graphs	
	W. J. Charatonik & Roe (2020)	Does not amalgamate	All	Connected Graphs	
	W. J. Charatonik & Roe (2020)	Unnamed	Confluent	Connected Graphs	
	W. J. Charatonik & Roe (2020)	Does not amalgamate	All	Trees	
	W. J. Charatonik & Roe (2020)	D ₃	Monotone	Trees	
	W. J. Charatonik & Roe (2020)	A special dendrite called P – No quotient	Monotone Retractions	Trees	
	W. J. Charatonik & Roe (2020)	Does not amalgamate	Confluent	Trees	
)	Bartošová & Kwiatkowsa (2013)	Lelak Fan	Order-Preserving	Rooted Trees	
	W. J. Charatonik & Roe (2020)	Cantor Fan	Order-Preserving & End- Preserving	Rooted Trees	
	W. J. Charatonik & Roe (2020)	Unnamed	Confluent & Order-Preserving	Rooted Trees	
	W. J. Charatonik & Roe (2020)	Mohler-Nikiel Dendroid	Confluent & Order-Preserving & End-Preserving	Rooted Trees	
	Camerlo & Basso (2020)	Fences	Various*	Hasse Diagrams of Finite Partial Orders	フ
	W. & Bartošová (2021)	Universal Knaster Continuum	Open Wrapping Epimorphisms	Directed Linear Graphs	
- - -	Camerlo & Basso (2020) W. & Bartošová (2021) & Spalas Uhosa Lonn	Fences Universal Knaster Continuum	& End-Preserving Various* Open Wrapping Epimorphisms FEN(L 7 CDK	Hasse Diagrams of Finite Partial Orders Directed Linear Graphs	7

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Future Results

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• Circle S¹

- Objects: Singly Directed Cycles (every element has exactly one successor and exactly one predecessor)
- Epimorphisms: Order-Preserving
- Universal Solenoid D



- Epimorphisms: All
- Universal Solenoid $\Sigma_{
 ho}^{\mu}$
 - Objects: Directed Cycles (every element has degree 3 including itself)
 - Epimorphisms: Order-Preserving, Open, Confluent

 $f: (G \to H)$ is confluent if every connected subset $Q \in V(H)$ and for every component $C \in f'(Q)$, have f(Q) = C



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Describing the Approximating Class

The class of Finite Linear Singly Directed Graphs with Open Wrapping Epimorphisms

Theorem, W. & Bartošová, 2021

The following class together with open wrapping epimorphisms forms a projective Fraïssé class: Let $\mathcal{L} = \{\leq\}$ be a first order language, where \leq is a binary relation symbol. We consider a class \mathcal{K} of finite \mathcal{L} -structures $\mathcal{A} = (A, \leq)$ satisfying the following properties:

- **1** $\leq^{\mathcal{A}}$ is reflexive and antisymmetric.
- **2** \mathcal{A} is connected.
- **3** Every element of \mathcal{A} has degree one or two, and there are exactly two elements of \mathcal{A} which have degree one.
 - If $x \in A$ has degree 1 and $\exists a \in A$ such that $a \leq^A x$, then say x is a **crown** of A.
 - If $x \in A$ has degree 1 and $\exists a \in A$ such that $x \leq^A a$, then say x is a **root** of A.

4 A has at least one root (in which case we say A is **rooted**), and we will designate one root a_0 .

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Describing the Approximating Class

Open Wrapping Epimorphisms

In an object $(A, \leq^{\mathcal{A}}) \in \mathcal{K}$, say $C \subseteq A$ is a directed chain if there exists a labeling on C, i.e. $C = \{c_0, ..., c_n\}$ for some $n \in$ with $c_i \leq^{\mathcal{A}} c_{i+1}$ for $0 \leq i \leq n-1$. Additionally, say $C \subseteq A$ is a maximal directed chain if C is a directed chain, and for any $a \in A \setminus C$, $C \cup \{a\}$ is not a directed chain. Finally, say an epimorphism $\rho : A \rightarrow B$ is an **open wrapping epimorphism** if every maximal directed chain $C_A \subseteq A$ maps to a maximal directed chain in B and $\rho^{-1}(B) = A_1 \cup A_2 \cup ... \cup A_k$ for some $k \in$ where each A_i has the same number of maximal directed chains as B and $\rho(A_i) = B$.

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Quotient of the PF Limit

Con-Theorem, W. & Bartošová, 2021

Let $(\mathbb{K}, \mathbb{R}^{\mathbb{K}})$ be the projective Fraïssé limit of \mathcal{K} , then:

- **1** $\mathbb{K}/R_S^{\mathbb{K}}$ is a continuum \checkmark
- **2** $\mathbb{K}/R_S^{\mathbb{K}}$ is arc-like, but not an arc \checkmark
- **3** Every proper subcontinuum of $\mathbb{K}/R_S^{\mathbb{K}}$ is an arc.

MART

50 IK.

- **4** $\mathbb{K}/R_S^{\mathbb{K}}$ satisfies the property of Kelley
- **5** $\mathbb{K}/R_S^{\mathbb{K}}$ has one end-point.

(b) For LVUry Ka 3 Ka St. S.t. |Ka|= Ka Corollary



-> is Ko

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Extreme Amenability of a Group

GQM IF M is a minimal 6-Flow (has no proper invariant rubset) then M= 2*3

Definition

Let G be a topological group. We say G is extremely amenable if $M(G) = \{*\}$.

If the universal minimal G-flow is a single point, then that means every minimal G-flow must be a single point. Since every G-flow contains a minimal G-flow (Zorn), that means every G-flow X must contain a fixed point $x \in X$, i.e. $G \cdot x = x$.

Conversely, if every G-flow has a fixed point, then $M(G) = \{*\}$.

Amenability

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Automorphism Groups of Fraïssé Limits

Kechris, Pestov, Todorocevic, 2005

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Let *L* be a signature with $L \subseteq \{<\}$ and let \mathcal{K} be a Fraïssé order class in *L*. Let $\mathbb{F} = \text{Flim}(\mathcal{K} \text{ be the Fraïssé limit of } \mathcal{K}, \text{ so that } \mathbf{F} \text{ is an order structure. Then the following are equivalent:$

- $G = \operatorname{Aut}(\mathbf{F})$ is extremely amenable.
- \mathcal{K} has the Ramsey property.

Structural Ramsey Property

Say that \mathcal{K} satisfies the **Ramsey Property** if for every $A, B \in \mathcal{K}$ with $A \leq B$, and $k \geq 2$, there is $C \in \mathcal{K}$ such that:

$$C o (B)^A_k$$



Homeo(K_{ρ}) is Extremely Amenable

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Theorem, W. & Bartošová, 2021

The class \mathcal{K} which approximates the universal Knaster continuum is Ramsey.

Corollary

The homeomorphism group of the universal Knaster continuum K_{ρ} , Homeo (K_{ρ}) is extremely amenable. $\mathcal{K}_{\alpha}\mathcal{K}$ is has infinitely many 2's \mathcal{K}_{2} \mathcal{K}_{2}

M (Homeo(K,)) = $\{0,1\}$



Procedure

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The Universal Minimal Flow Procedure For Model Theoretic Approach to Dynamics of a Compact Space:

- Begin with some compact space Y. Aim: discover properties of Y and Homeo(Y) using finite approximations of Y.
- **2** Find a projective Fraïssé family which "apprxoimates" Y, call it \mathcal{D} .
- **3** Compute the projective Fraïssé limit of \mathcal{D} , call it \mathbb{D} .
- **4** Symmeterize the relations to get an equivalence relation. Then show that $\mathbb{D}/R_S^{\mathbb{D}} \simeq Y$.
- **5** The quotient map $\mathbb{D} \to \mathbb{D}/R_S^{\mathbb{D}}$ induces a continuous group embedding $\operatorname{Aut}(\mathbb{D}) \hookrightarrow \operatorname{Aug}(Y)$ with dense image.
- **6** We can study Homeo(Y) via the combinatorial properties of the finite structures in \mathcal{D} .



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Thank you!