

# Bowen's Problem 32 and the conjugacy problem for systems with specification

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Caltech Online Logic Seminar, January 29, 2025

## Structure of the talk

1. **Bowen's Problem 32** and conjugacy of symbolic systems with **specification**
2. Conjugacy of Cantor systems with **specification** and a **problem of Ding and Gu**,
3. Conjugacy of Hilbert cube systems with **specification** and a **problem of Bruin and Vejnar**.

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**Classify** symbolic systems with specification

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The problem is currently maintained on a dedicated website  
<https://bowen.pims.math.ca/problems/32>

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The classification problem for **separable  $C^*$ -algebras** is a **complete orbit equivalence relation**.

### Theorem (**Zielinski**)

The classification problem for **compact metrizable spaces** is a **complete orbit equivalence relation**.

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1. The conjugacy relation of **measure preserving transformations**.
2. **Topological conjugacy** of homeomorphisms (diffeomorphisms).



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## Theorem (**Foreman–Gorodetski**)

Let  $M$  be a manifold of dimension  $n$ , then the topological conjugacy relation of **smooth diffeomorphisms** on  $M$  is

1. **not smooth** when  $n \geq 2$ ,
2. **not Borel** when  $n \geq 5$ .

We are going to look at dynamical systems of the form  $(X, \varphi)$  where  $X$  is a **compact** metric space with no isolated points and  $\varphi : X \rightarrow X$  is a **homeomorphism**.

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## Subshifts

A **symbolic system** is a subsystem of the shift  $(\{0, 1\}^{\mathbb{Z}}, \sigma)$ .

A **block code** (on  $\mathbb{Z}$ ) is a (finite) map from  $\{0, 1\}^n$  to  $\{0, 1\}$  for some  $n$ .

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Theorem (**Curtis–Hedlund–Lyndon**)

Any continuous shift-equivariant map between symbolic systems is given by a **block code**.

## Conjugacy in symbolic dynamics

Two compact systems  $(X, \varphi)$  and  $(Y, \psi)$  are **conjugate** if there is a homeomorphism  $\theta : X \rightarrow Y$  such that  $\theta\varphi = \psi\theta$ .

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## Countable Borel equivalence relation

Note that since there are only countably many block codes, the conjugacy in symbolic dynamics is a **countable Borel equivalence relation**.

## Transitive point

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## Transitive systems

A system is **transitive** if it has a transitive point. A system is **minimal** if every point is transitive.

$=^+$  is an equivalence relation defined on  $\mathbb{R}^\omega$ :

$$(x_n) =^+ (y_n) \text{ if and only if } \{x_n\} = \{y_n\}.$$

## Pointed systems

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## Theorem (Kaya)

The conjugacy relation of **pointed Cantor minimal systems** is Borel bi-reducible with  $=^+$ .

## Orbit segment

Given a map  $\tau: X \rightarrow X$ , an interval  $[a, b] \subseteq \mathbb{N}$  with  $0 \leq a < b$ , and  $x \in X$  we write

$$\tau^{[a,b]}(x)$$

for the sequence  $(\tau^i(x))_{a \leq i \leq b}$  and call it the **orbit segment** (of  $x$  over  $[a, b]$ ).

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## $k$ -spaced specification

Let  $k$  be a natural number. A  **$k$ -spaced specification** is a family of orbit segments

$$\{\tau^{[a_i, b_i]}(x_i) : 1 \leq i \leq n\}$$

such that

$$a_i - b_{i-1} > k \quad \text{for} \quad 2 \leq i \leq n.$$

## Tracing orbit segments

Fix  $\varepsilon > 0$ . A specification  $\{\tau^{[a_i, b_i]}(x_i) : 1 \leq i \leq n\}$  is  **$\varepsilon$ -traced** if there **exists**  $y \in X$  such that

$$d(\tau^j(x_i), \tau^j(y)) \leq \varepsilon$$

for  $j \in [a_i, b_i]$ , for every  $1 \leq i \leq n$ .

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$$\begin{array}{ccccccc} \tau^{a_1}(\mathbf{x}_1) \dots \tau^{b_1}(\mathbf{x}_1) & \tau^{a_2}(\mathbf{x}_2) \dots \tau^{b_2}(\mathbf{x}_2) & \dots & \tau^{a_n}(\mathbf{x}_n) \dots \tau^{b_n}(\mathbf{x}_n) \\ \tau^{a_1}(\mathbf{y}) \dots \tau^{b_1}(\mathbf{y}) & \tau^{a_2}(\mathbf{y}) \dots, \tau^{b_2}(\mathbf{y}) & \dots & \tau^{a_n}(\mathbf{y}) \dots \tau^{b_n}(\mathbf{y}) \end{array}$$

## Definition

A dynamical system  $(X, \tau)$  has the **specification property** if for every  $\varepsilon > 0$  there **exists**  $k(\varepsilon) \in \mathbb{N}$  such that every  $k(\varepsilon)$ -spaced specification **is  $\varepsilon$ -traced by a point** from  $X$ .

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This terminology is **classical** but in more modern terminology, **equivalent** notion (also, more more general group actions than  $\mathbb{Z}$ ) goes under the name **strong irreducibility** (e.g. in the works of **Frisch, Seward, Tsankov or Zucker**).

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It is **not known** whether the conjugacy relation for minimal symbolic systems is **hyperfinite or not**.

## Language

Given a symbolic system  $X \subseteq A^{\mathbb{Z}}$ , its **language** is the collection of finite words appearing in its elements:

$$\text{Lang}(X) := \{x|[i, j] : x \in X \text{ and } i \leq j\}.$$

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## Fact

**Transitivity** of a symbolic system  $X$  is **equivalent** to the statement that for all  $u, v \in \text{Lang}(X)$ , there exists  $w$  such that

$$uwv \in \text{Lang}(X)$$

## Specification

A symbolic system  $X$  has the **specification property** if and only if there exists a natural number  $k$  such that for all  $u, v \in \text{Lang}(X)$ , there exists  $w$  such that

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Note that specification **implies** transitivity.

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The reason following result explain why such classification is in fact **impossible**.

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The reason following result explain why such classification is in fact **impossible**.

### Theorem (Deka–Kwiatniak–P.–Sabok)

The conjugacy of symbolic systems with specification is **not smooth**.

In fact, we prove a somewhat stronger statement.

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### Theorem (Deka–Kwiatniak–P.–Sabok)

The conjugacy of symbolic systems with specification is **not hyperfinite**.

The proof consists of constructing a specific class of symbolic systems with the specification property

## Fact

There exists a class of symbolic systems with the specification property that admits a **probability measure** and an **action of the free group  $F_2$**  which preserves conjugacy and the probability measure such that the action is a.e. free.

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This implies that the conjugacy restricted to the class is not hyperfinite. In fact, the same proof can be also used to further strengthen the non-hyperfiniteness.

The construction of the symbolic systems with specification is purely **combinatorial**.

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It relies on **counting the number of occurrences of certain blocks** of 0's and 1's.

To make sure that the systems have the specification property we need to use blocks whose lengths are **relatively prime**.

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For instance such blocks of lengths 7 and 5 work:

$$a = 1000001,$$

$$b = 1001001,$$

$$c = 1011101,$$

$$d = 1010101,$$

$$\# = 10001.$$

## Question

Is the conjugacy relation for symbolic systems with specification a universal countable Borel equivalence relation?

Let us look at something **unrelated** to dynamical systems.

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Given two **metrics**  $d_1$  and  $d_2$  on  $\mathbb{N}$  we write

$$d_1 E_{\text{sc}} d_2$$

if the identity function  $n \mapsto n$  on  $\mathbb{N}$  extends to a **homeomorphism of the completions** of  $d_1$  and  $d_2$ .

This is quite a natural equivalence relation, introduced and studied by **Ding and Gu**.

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In their paper, Ding and Gu **ask what is the complexity** of  $E_{sc}$  **restricted to metrics whose completion is compact zero-dimensional**.

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In their paper, Ding and Gu **ask what is the complexity** of  $E_{sc}$  **restricted to metrics whose completion is compact zero-dimensional**.

In fact, they ask a specific question about the **upper bound on the above complexity** that is weaker but the **answer to the above will answer their question as well**.

**How is this related** to systems with specification?

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Fact

The conjugacy problem for **pointed Cantor systems with specification** is Borel-reducible to  $E_{sc}$  **restricted to metrics whose completion is compact zero-dimensional**.

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The conjugacy problem for **pointed Cantor systems with specification** is Borel-reducible to  $E_{\text{sc}}$  **restricted to metrics whose completion is compact zero-dimensional**.

Proof

Given a pointed Cantor system with specification  $(X, \varphi, x)$ , map it to the metric on  $\mathbb{N}$  induced on via the map  $n \mapsto \varphi^n(x)$ . That is put

$$d(n, m) = d_X(\varphi^n(x), \varphi^m(x)).$$

This is a Borel reduction.

By the way of computing the complexity of the conjugacy of pointed Cantor systems with specification, **we solve Ding and Gu's problem.**

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Theorem (Deka–Kwietniak–P.–Sabok)

The  $E_{sc}$  **restricted to metrics whose completion is compact zero-dimensional** is  $=^+$ .

The proof actually uses dynamical systems and a notion of the so-called **Oxtoby systems** originating in **work of Williams** from 1980's, as well as a result of **Kaya**.

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### Corollary

The **complexity of the conjugacy of pointed Cantor systems with specification** is  $=^+$ .

A **Hilbert cube system** is a system whose underlying space is the Hilbert cube

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A very similar question to Bowen's problem but for pointed systems was also asked in a recent paper of Bruin and Vejnar.

## Table from the paper of Bruin–Vejnar

	pointed transitive systems.
interval	$\emptyset$
circle	$=$
Cantor set	$=^+ \text{ (Kaya)}$
Hilbert cube	$?$

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## Question (Bruin–Vejnar)

What is the complexity of the conjugacy of **pointed transitive Hilbert cube systems**?

## Fact

The following relations have the same complexity

- ▶ conjugacy of pointed **transitive** Hilbert cube systems
- ▶ conjugacy of pointed Hilbert cube systems **with the specification property**
- ▶ the action of  $\text{Aut}((([0, 1]^{\mathbb{N}})^{\mathbb{Z}}, \sigma))$  on the set

$$\{x \in ([0, 1]^{\mathbb{N}})^{\mathbb{Z}} : x \text{ is } \mathbf{transitive}\}$$

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## Corollary

The conjugacy of pointed transitive Hilbert cube systems is bi-reducible with a **turbulent group action**.