Borel equivalence relations and forcing

Dima Sinapova Rutgers University Caltech Seminar 2025 joint work with F. Calderoni

May 7, 2025

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A motivational open problem:

(The Union Problem): Does hyperhyperfinite imply hyperfinite?

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Def. E₀ on 2^{ω} given by xE₀y iff for all large n, $x_n = y_n$.

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Def. E_0 on 2^ω given by xE_0y iff for all large n, $x_n=y_n.$ E_0 is hyperfinite,

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Def. E₀ on 2^{ω} given by xE₀y iff for all large n, x_n = y_n. E₀ is hyperfinite, and actually **the** hyperfinite equivalence relation

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Def. E is **Borel reducible** to F if there is a Borel function f, such that xEy iff f(x)Ff(y).

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More general examples:

- Def. Let G be a countable group acting on a space X in a Borel way. The induced orbit equivalence relation E_G is a cber.
- And actually every cber is obtained in such a way (Feldman Moore)

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Theorem (Smythe)

- 1. Gen(\mathbb{P} , M) is a G $_{\delta}$ subset of $2^{\mathbb{P}}$.
- 2. $E_{\mathbb{P}}^{\mathsf{M}}$ is a countable Borel equivalence relation.
- 3. $E_{\mathbb{P}}^{M}$ is induced by the action of the group of automorphisms of the boolean completion of \mathbb{P} that are in M.

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A key point in the proof is that $\mathbb P$ is weakly homogeneous iff the action generating $E^M_{\mathbb P}$ is generically ergodic.

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We show a characterization of smoothness for equivalence relations of the form $E^M_{\mathbb{P}}.$

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Definition

 $(\dagger)_p$: for all $p'\leq p$, there are incompatible $q,r\leq p'$, such that there exists distinct generic filters G, H, such that $q\in G,r\in H$ and V[H]=V[G].

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The idea: a translation of the topological characterization of smoothness via condensation, which is weaker than generic ergodicity.

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Fact:

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So, an M-generic filter G is a condensed point if for all p with $p\in G$, there is another M -generic filter H with $p\in H$, such that M[G]=M[H] and $G\neq H.$

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Lemma

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idea of the proof: take lottery sums of nonisomorphic homogeneous forcings in a tree like fashion.

Prikry forcing

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For
$$p = \langle s, A \rangle \in \mathbb{P}$$
, set $lh(p) = |s|$.
The equivalence relation for Prikry forcing

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Let $\mathbb P$ be the Prikry poset for some measure and M a countable model. Let G, H be M-generic for $\mathbb P.$

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Fact (Gitik-Kanovei-Koepke) $M[G] = M[H](i.e. \ GE_{\mathbb{P}}^{M}H)$ iff on a tail end the two Prikry sequences coincide.

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Theorem.

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Fact (Gitik-Kanovei-Koepke) $M[G] = M[H](i.e. \ GE_{\mathbb{P}}^{M}H)$ iff on a tail end the two Prikry sequences coincide.

Theorem. $E_{\mathbb{P}}^{\mathsf{M}}$ is hyperfinite.

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2. If $C \in M$ is comeager, then any M-generic real is in C. 3. $E_{\mathbb{P}}^{M} = \bigcup_{n} E_{n}$, an increasing union, where each $E_{n} \in M$.

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 $\begin{array}{ll} \text{2. If } C\in M \text{ is comeager, then any } M\text{-generic real is in C.} \\ \text{3. } E_{\mathbb{P}}^M=\bigcup_n E_n\text{, an increasing union, where each } E_n\in M. \\ \text{About item one: any cber is hyperfinite of a comeager set.} \end{array}$

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Smythe: $E_{\mathbb{P}}^{M}$ is hyperhyperfinite. Some key points in that proof:

1. Any cber is hyperfinite of a comeager set,

2. If $\mathsf{C}\in\mathsf{M}$ is comeager, then any M-generic real is in $\mathsf{C}.$

3. $E_{\mathbb{P}}^{\mathsf{M}} = \bigcup_{n} E_{n}$, an increasing union, where each $E_{n} \in \mathsf{M}$.

About item one: any cber is hyperfinite of a comeager set. For any x, there are comeagerly many y in \mathcal{N} (the Baire space), "coding" $[x]_E$, i.e. can define a hyperfinite equivalence relation E_y such that $[x]_E = [x]_{E_y}$.

Then, for comeagerly many x, there are comegearly many such y's.

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Let $\mathbb P$ be the Cohen poset and let $X=\text{Gen}(\mathbb P,M).$ Let $E=E_{\mathbb P}^M,$ and

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Can identify finite partial function from ω to ω with \mathbb{P} , and so elements in the Baire space with elements in X.

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Lemma

If y and x are M-mutually generic, and $\{g_n \mid n < \omega\} \in M[y]$, then $[x]_E = [x]_{E_y}$. Namely, $E_{\mathbb{P}}^M$ restricted to $Gen(\mathbb{P}, M[y])$ is hyperfinite.

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Let $N \supset M$ be a countable model, such that the $\{g_n \mid n < \omega\} \in N$.

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Lemma E^N is hyperfinite.

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Idea:

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Conjecture: E* is hyperfinite.

Idea:

- show it is hyperfinite when V = L.
- then use absoluteness.

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- 2. Recall we defined E^* to be xE^*z iff xEz and
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- 4. Let $\mathbb P$ be the Magidor forcing. What is the Borel complexity of $E^M_{\mathbb P}?$

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Conjecture (almost lemma): It is hyperfinite.

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5. What about Namba forcing?

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Conjecture (almost lemma): It is hyperfinite.

5. What about Namba forcing? Sacks forcing?

- 1. Let $\mathsf{E}=\mathsf{E}_{\mathbb{P}}^{\mathsf{M}}$, where $\mathbb P$ is the Cohen poset. Is E hyperfinite?
- 2. Recall we defined E^* to be xE^*z iff xEz and $x \upharpoonright Even = z \upharpoonright Even$. Is E Borel reducible to E^* ?
- 3. Is there a Borel function $f : Gen(\mathbb{P}, M) \to Gen(\mathbb{P}, M)$, such that for all x, f(x) is M[x]-generic and xEy implies f(x) = f(y).
- Let P be the Magidor forcing. What is the Borel complexity of E^M_P?
 Conjecture (almost lemma): It is hyperfinite.
- 5. What about Namba forcing? Sacks forcing?
- 6. What other forcings besides the Random real are not hyperfinite?

THANK YOU